

Electronic Acknowledgement Receipt

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|---|---|
| EFS ID: | 7561311 |
| Application Number: | 10810107 |
| International Application Number: | |
| Confirmation Number: | 8033 |
| Title of Invention: | Method and computer program for tax sensitive investment portfolio management |
| First Named Inventor/Applicant Name: | Renato Staub |
| Customer Number: | 00909 |
| Filer: | Christopher F. Lair/Lori Celluzzi |
| Filer Authorized By: | Christopher F. Lair |
| Attorney Docket Number: | 5333-3 |
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| Application Type: | Utility under 35 USC 111(a) |

Payment information:

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| Submitted with Payment | yes |
| Payment Type | Deposit Account |
| Payment was successfully received in RAM | \$ 940 |
| RAM confirmation Number | 6893 |
| Deposit Account | 033975 |
| Authorized User | |

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|-----------|-------------------------------------|------------------|------------------|
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|---|--|---|---|------------|----|
| 1 | Request for Continued Examination (RCE) | 092005_0373214_RCE_Transmittal.pdf | 145834 58068bfecf5725ec7dd4fa172935ab0a7e86a922 | no | 1 |
| Warnings: | | | | | |
| This is not a USPTO supplied RCE SB30 form. | | | | | |
| Information: | | | | | |
| 2 | | 092005_0373214_RCE_Amendment.pdf | 1215691 7799bc2b7397fd56996b1ddc90bfc20c300606da | yes | 18 |
| | Multipart Description/PDF files in .zip description | | | | |
| | Document Description | | Start | End | |
| | Amendment Submitted/Entered with Filing of CPA/RCE | | 1 | 1 | |
| | Claims | | 2 | 7 | |
| | Applicant Arguments/Remarks Made in an Amendment | | 8 | 18 | |
| Warnings: | | | | | |
| Information: | | | | | |
| 3 | Extension of Time | 092005_0373214_Petition_For_Extension_Of_Time.pdf | 107610 5010eef39cbaaf8f02ee62b0cfe8533dd2f481a7 | no | 1 |
| Warnings: | | | | | |
| Information: | | | | | |
| 4 | Fee Worksheet (PTO-875) | fee-info.pdf | 31778 7bcb2be39edd885d0b67b4796ad8386fadbb618f | no | 2 |
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| Information: | | | | | |
| Total Files Size (in bytes): | | | 1500913 | | |

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.